



為證券及期貨事務監察委員會（“證監會”）註冊為視作持牌法團（CE 編號 BCV258）  
 以及香港聯合交易所有限公司（“交易所”）的參與者  
 Registered with Securities and Future Commission（“SFC”）as a deemed licensed corporation CE No.: BCV258  
 and an exchange participant of The Stock Exchange of Hong Kong Limited（“SEHK”）

## ANNUAL UPDATE OF CLIENT'S INFORMATION FORM 帳戶資料年度更新表格

<b>Client Name</b> 客戶名稱	<b>Account No.</b> 戶口號碼
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(Only use the form if you have information to update. 如有更新資料，須填寫以下資料。) (請於所選適當位置加上 ✓ 號 Please ✓ for the appropriate option)

### New Basic Information 新基本資料

New corresponding address 新地址:  / Email 電郵

(except email and office addresses, an address proof issued within the last 3 months is required 除電郵及辦公室地址外，其他新地址須附三個月內之地址證明):

Email 電郵  (original copy of this form must be submitted 必須提交本表格正本)

New telephone number 新電話號碼  office 辦公室  home 住宅  mobile 手提:

### New bank account details 新銀行戶口資料

Bank name 銀行名稱: \_\_\_\_\_

Bank account number 銀行帳戶號碼: \_\_\_\_\_

### New Employment Status 工作狀況

Name of Employer

僱主名稱

Position

職位

Office Address

公司地址: \_\_\_\_\_

Nature of Business 業務性質: \_\_\_\_\_

Year of Service 服務年資: \_\_\_\_\_

### New Identity Declaration 新身份聲明

New Nationality 新國籍 \_\_\_\_\_

Jurisdiction of Tax Residence 稅務居留司法管轄區 \_\_\_\_\_

TIN 稅務編號(if applicable 若適用) \_\_\_\_\_



**New Financial information 新財務資料**

- Estimated Net Worth 淨資產值  <HK\$1M  HK\$1-2M  HK\$2-5M  HK\$5-10M  >HK\$10M
- Liquid Assets 流動資產值  <HK\$50k  HK\$50-200k  HK\$200-500k  HK\$500k-1M  >HK\$1M
- Annual Income 每年收入  <HK\$100k  HK\$100-300k  HK\$300-500k  HK\$500k-1M  >HK\$1M
- Sources of fund and wealth (資金及財富來源): You can choose more than 1 option (可選擇多於一項):
  - Income from Earnings 工作收入  Investment Proceeds 投資收益  Gift 餽贈
  - Sale of business 商業買賣收益  Inheritance 遺產繼承  Legal Settlement 訴訟收益
  - Pension/retirement Savings 退休金  Spouse/parent 配偶/父母  Lottery/Gaming 賭博
  - Insurance Proceed 保險收益  Others(please specify) 其他(請註明): \_\_\_\_\_



Signature(s)/ Authorized Signature(s)

簽署/授權簽署  
(如屬公司戶口,請加蓋公司印鑑。For corporate account, please affix with company chop.)

日期 Date

For Internal Use Only 只供內部填寫

Signature Verification	Input By	Checked By	Approved By	Remarks